

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: 7,117,322

Filed/Issue Date: October 3, 2006

Entitled: METHOD, SYSTEM, AND PROGRAM FOR DEFINING ASSET CLASSES IN A DIGITAL LIBRARY

International Business Machines Corporation
(Name of Assignee)

Corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014298, Frame 00212, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

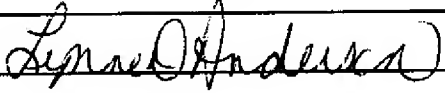
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to: Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	<u>2-20-07</u>
Name	<u>Lynne D. Anderson</u>	Telephone Number	<u>703-299-1455</u>
Title	<u>Program Manager - U.S. Patent and Trademark Office Liaison</u>		

37.55

Facsimile Transmission *DOCKETED - AYC*

*This company uses RightFAX® fax
server software from RightFAX, Inc.*

From: Name: USPTO ASSIGNMENT DIVISION
Fax Number:
Voice Phone: 703-308-9723

To: Name: KONRAD RAYNES & VICTOR, LLP
Company: DAVID W. VICTOR
Fax Number: 13105567984
Voice Phone:

Fax Notes:

Pg#	Description
1	Cover Page
2	811.TXT
4	Document 1, Batch 283762

PTAS FAX PROCESSING

Date and time of transmission: Tuesday, February 03, 2004 4:48:52 PM
Number of pages including this cover sheet: 04

A RightFAX® Communicated Document



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



700063722A

FEBRUARY 03, 2004

PTAS

KONRAD RAYNES & VICTOR, LLP
DAVID W. VICTOR
315 S. BEVERLY DRIVE, SUITE 210
BEVERLY HILLS, CA 90212

PROOFED

FEB 05 2004

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

BY gab

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/02/2004

REEL/FRAME: 014298/0021
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HOCHBERG, AVISHAI HAIM

DOC DATE: 01/27/2004

ASSIGNOR:

MAREK, TOBY LYN

DOC DATE: 01/27/2004

ASSIGNOR:

CANNON, DAVID MAXWELL

DOC DATE: 01/27/2004

ASSIGNOR:

MARTIN, HOWARD NEWTON

DOC DATE: 01/27/2004

ASSIGNOR:

WARREN, DONALD PAUL, JR.

DOC DATE: 01/27/2004

ASSIGNOR:

HAYE, MARK ALAN

DOC DATE: 01/28/2004

014298/0021 PAGE 2

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
ARMONK, NEW YORK 10504

SERIAL NUMBER: 10658487
PATENT NUMBER:

FILING DATE: 09/08/2003
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

02/02/2004

700063722

Form PTO-1595
0037.0055U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeRECORDATION FORM COVER LETTER
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Execution Date

- 1) Avishai Haim Hochberg,
- 2) Toby Lyn Merck,
- 3) David Maxwell Cannon,
- 4) Howard Newton Martin,
- 5) Donald Paul Warren, Jr.,
- 6) Mark Alan Hays

- 1) January 27, 2004,
- 2) January 27, 2004,
- 3) January 27, 2004,
- 4) January 27, 2004,
- 5) January 27, 2004,
- 6) January 28, 2004

2. Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s): 10/658,487

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

David W. Victor
KONRAD RAYNES & VICTOR, LLP
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 09-0488

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

David W. Victor

Name of Person Signing

Signature

February 2, 2004

Date

Total number of pages including cover sheet, attachments and document: [5]

OMB No. 0651-0011 (exp. 4/94)

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being transmitted by facsimile in Assignment Recordation Services of the U.S. Patent and Trademark Office at 703-306-3995 on February 2, 2004.

Jeffrey Bell

2/2/04

Date



North Castle Drive
Armonk, NY 10504

LETTER OF AUTHORITY

I, Patrick Toole, Vice President, Intellectual Property and Standards of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing, reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, program manager, U.S. Patent and Trademark Office liaison.

Date: March 30, 2006

A handwritten signature in cursive script, appearing to read "P. Toole", written over a horizontal line.

Patrick Toole
Vice President, Intellectual Property & Standards
International Business Machines Corporation